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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

MAR 2 7 2003

Inventor : David Ellis et al.

Appln. No.: 09/977,050

Filed : October 12, 2001

: INTEGRATIVE RISK MANAGEMENT

SYSTEM AND METHOD

Docket No.: S85.12-0001

Group Art Unit: 2161

Examiner:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patent ECEIVED Washington, D.C. 20231

MAR 3 1 2003

GROUP 3600

I HEREBY CERTIFY THAT THIS PAPER IS BEING SENT BY U.S. MAIL, FIRST CLASS, TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, THIS

DAY OF March, 20 02

PATENT ATTORNEY

Sir:

For

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed.

The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123.

Respectfully submitted,

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| FORM PTO-1449   |                  |            |         |         |               |             |                    | ty.<br>5.12           | Docket<br>-0001 | Appl. No.:<br>09/977,050 |            |                               |  |
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| O / DIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT |                  |            |         |         |               |             |                    | First Named Inventor: |                 |                          |            |                               |  |
| MAR 2 7 2003 R  |                  |            |         |         |               |             | David Ellis et al. |                       |                 |                          |            |                               |  |
|   |                  |            |         |         |               |             | Filing Date        |                       |                 |                          | Group Art: |                               |  |
|   |                  |            |         |         |               |             | October 12, 2001   |                       |                 |                          | 2161       |                               |  |
|   |                  |            | U       | .S. PA  | TENT DOCUME   | NTS         |                    |                       |                 |                          |            |                               |  |
| Examiner<br>Initial   | Document<br>No.  | •          |         |         | Name          |             |                    | С                     | Class           |                          | s          | Filing Date<br>If Appropriate |  |
| AA  |                  |            |         |         |               |             |                    |                       |                 |                          |            |                               |  |
| АВ  |                  |            |         |         |               |             |                    |                       |                 |                          |            | <u></u>                       |  |
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| AH  | GROUP 3          |            |         |         |               |             |                    | lack                  |                 |                          |            |                               |  |
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| AK  |                  |            |         |         |               |             |                    |                       |                 |                          |            |                               |  |
|   | ,                |            | FOF     | REĮGN : | PATENT DOCUM  | IEN'        | TS                 |                       |                 |                          |            |                               |  |
|   | Document No.     |            | Date    |         | Country       |             |                    |                       | Class           |                          | ıb<br>ass  | Translation<br>Yes No         |  |
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| AM  | WO 01/40984 A1   |            | 06/2001 |         | Europe        |             |                    | _                     |                 |                          |            | х                             |  |
| AN  |                  |            |         |         |               |             |                    |                       |                 |                          |            | <u> </u>                      |  |
|   | OTHER ART (      | Includ     | ing Au  | uthor,  | Title, Date   | <b>2,</b> 1 | Pert               | iner                  | nt Page         | es, Etc                  | 2.)        |                               |  |
| AO  |                  |            |         |         |               | _           |                    |                       |                 |                          |            |                               |  |
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| AQ  |                  |            |         |         |               |             |                    |                       |                 |                          |            |                               |  |
| EXAMINER:   | DATE CONSIDERED: |            |         |         |               |             |                    |                       |                 |                          |            |                               |  |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.